

Definability in the local structure of the enumeration degrees and the ω -enumeration degrees

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PART I

THE ENUMERATION DEGREES

Preliminaries: The enumeration degrees

Definition

- $A \leq_e B$ iff there is a c.e. set W , such that $A = W(B) = \{x \mid \exists u (\langle x, u \rangle \in W \wedge D_u \subseteq B)\}$.
- $d_e(A) = \{B \mid A \leq_e B \ \& \ B \leq_e A\}$
- $d_e(A) \leq d_e(B)$ iff $A \leq_e B$.
- $\mathbf{0}_e = d_e(\emptyset) = \{W \mid W \text{ is c.e.}\}$.
- $d_e(A) \vee d_e(B) = d_e(A \oplus B)$.
- $d_e(A)' = d_e(A')$, where $A' = L_A \oplus \overline{L_A}$ and $L_A = \{x \mid x \in W_x(A)\}$.
- $\mathcal{D}_e = \langle D_e, \leq, \vee, ', \mathbf{0}_e \rangle$ is an upper semi-lattice with jump operation and least element.

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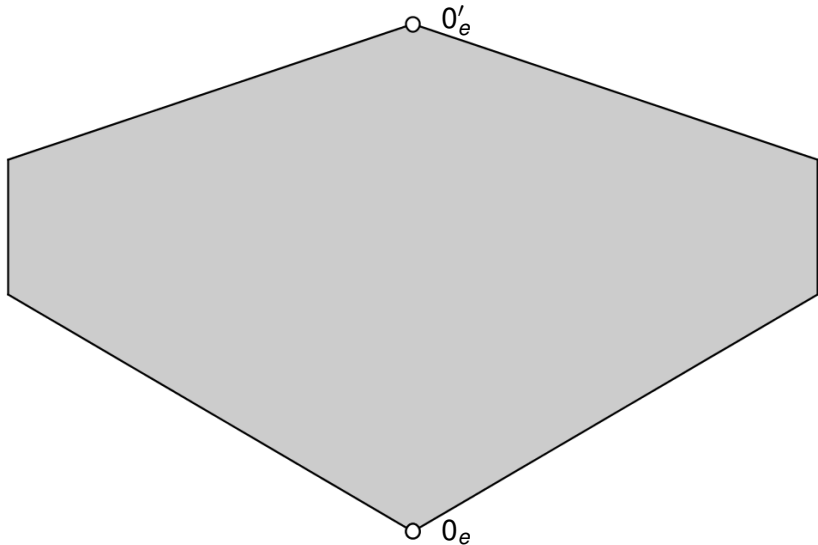
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The total degrees

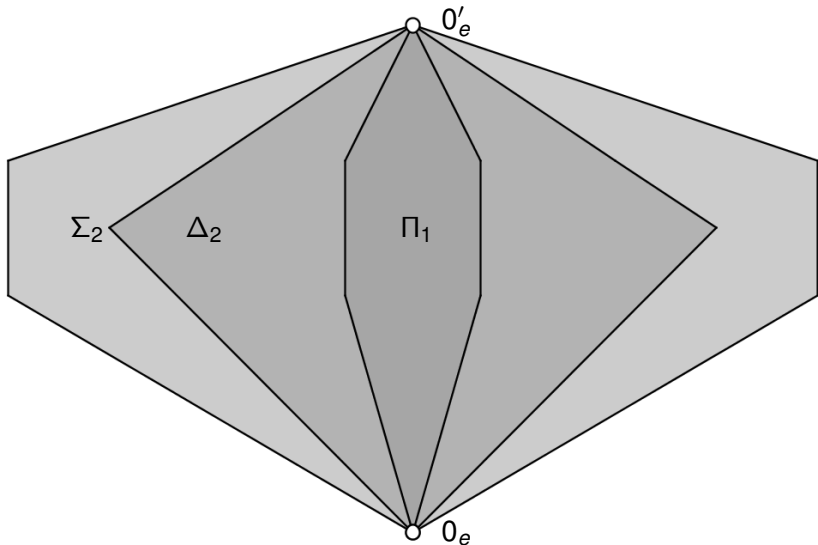
Proposition

The embedding $\iota : \mathcal{D}_T \rightarrow \mathcal{D}_e$, defined by $\iota(d_T(A)) = d_e(A \oplus \bar{A})$, preserves the order, the least upper bound and the jump operation:

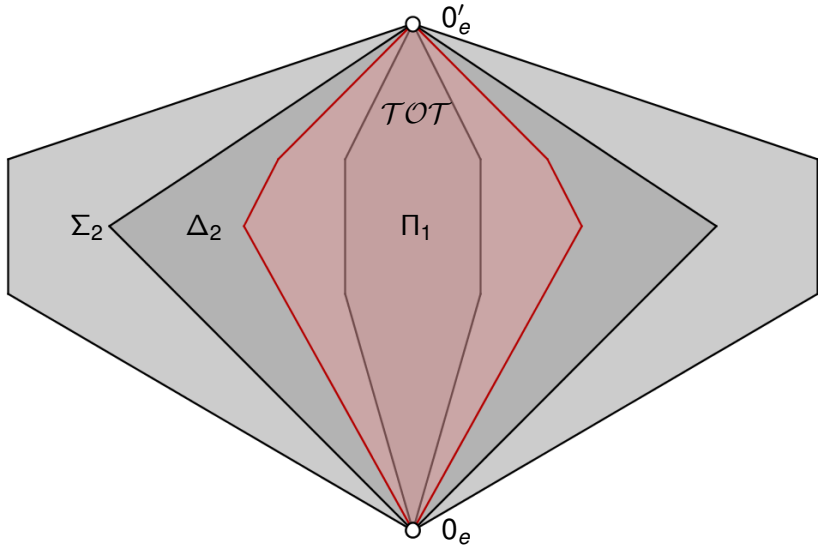
The sub structure of the total e-degrees is defined as $\mathcal{TOT} = \iota(\mathcal{D}_T)$.



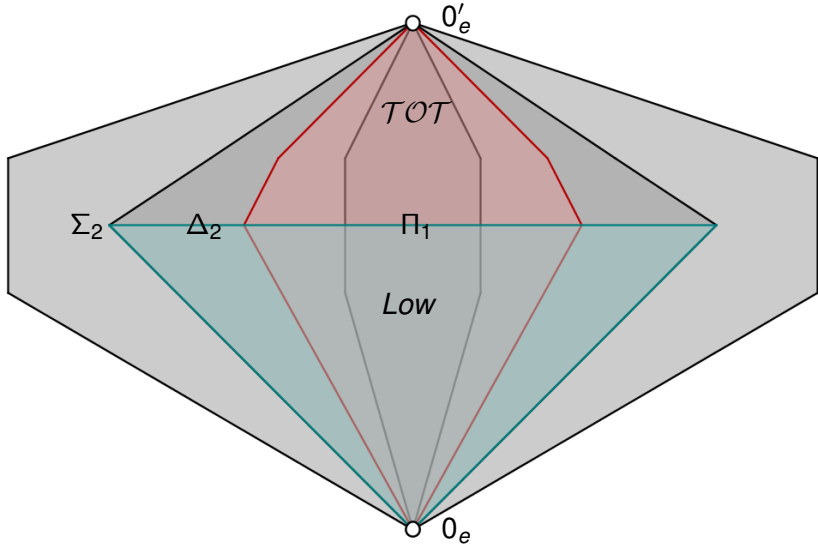
The local structure of the enumeration degrees $\mathcal{G}_e = \mathcal{D}_e(\leq 0'_e)$ consists of all degrees below $0'_e$.



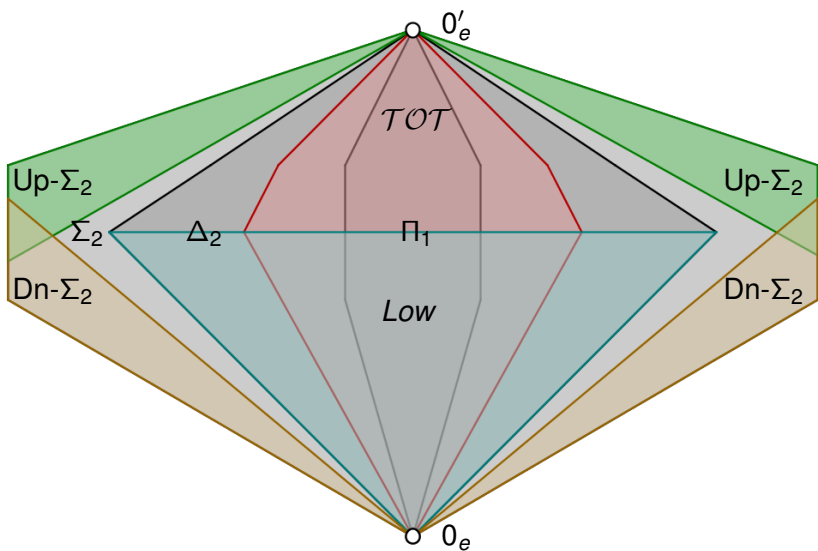
With respect to the arithmetic hierarchy the degrees can be partitioned into three classes.



The total degrees below $\mathbf{0}'_e$ are images of the Turing degrees below $\mathbf{0}'$.
 Every total degree is Δ_2^0 , but not all Δ_2^0 are total.



A degree is low if its jump is as low as possible: $0'_e$. Every low degree is Δ_2^0 .



The upwards properly Σ_2^0 have no incomplete Δ_2^0 above them. The downwards properly Σ_2^0 have no nonzero Δ_2^0 below them.

Iskander Kalimullin: Definability of the jump operator in the enumeration degrees

Journal of Mathematical Logic (2003)

Definition

Let A , B and U be sets of natural numbers. The pair (A, B) is a \mathcal{K} -pair over U if there exists a set $W \leq_e U$, such that $A \times B \subseteq W$ and $\overline{A} \times \overline{B} \subseteq \overline{W}$.

A and B are a \mathcal{K} -pair if they are a \mathcal{K} -pair over \emptyset .

\mathcal{K} -pairs: A trivial example

Example

Let V be a c.e. set. Then (V, A) is a \mathcal{K} -pair (over \emptyset) for any set of natural numbers A .

Let $W = V \times \mathbb{N}$. Then $V \times A \subseteq W$ and $\overline{V} \times \overline{A} \subseteq \overline{W}$.

We will only be interested in non-trivial \mathcal{K} -pairs.

\mathcal{K} -pairs: A more interesting example

Definition (Jockusch)

A set of natural numbers A is semi-recursive if there is a computable function s_A such that for every pair of natural numbers (x, y) :

- 1 $s_A(x, y) \in \{x, y\}$.
- 2 If $x \in A$ or $y \in A$ then $s_A(x, y) \in A$.

Example

Let A be a semi-recursive set. Then (A, \bar{A}) is a \mathcal{K} -pair.

Theorem (Jockusch)

For every noncomputable set B there is a semi recursive set $A \equiv_T B$ such that both A and \bar{A} are not c.e.

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An order theoretic characterization of \mathcal{K} -pairs

Kalimullin has proved that the property of being a \mathcal{K} -pair is degree theoretic and first order definable in \mathcal{D}_e .

Theorem (Kalimullin)

(A, B) is a \mathcal{K} -pair over U if and only if the degrees $\mathbf{a} = d_e(A)$, $\mathbf{b} = d_e(B)$ and $\mathbf{u} = d_e(U)$ have the following property:

$$\mathcal{K}(\mathbf{a}, \mathbf{b}, \mathbf{u}) \Leftrightarrow (\forall \mathbf{x} \in \mathcal{D}_e)((\mathbf{u} \vee \mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{u} \vee \mathbf{b} \vee \mathbf{x}) = \mathbf{u} \vee \mathbf{x})$$

Properties of \mathcal{K} -pairs in the local structure

- 1 The enumeration degrees of the elements of a \mathcal{K} -pair are quasi minimal, i.e. the only total degree bounded by either of them is $\mathbf{0}_e$.
- 2 The enumeration degrees of the elements of a \mathcal{K} pair are low.
- 3 Every Δ_2^0 degree bounds a \mathcal{K} -pair.
- 4 The class of the enumeration degrees of sets that form a \mathcal{K} -pair with a fixed set A is an ideal.

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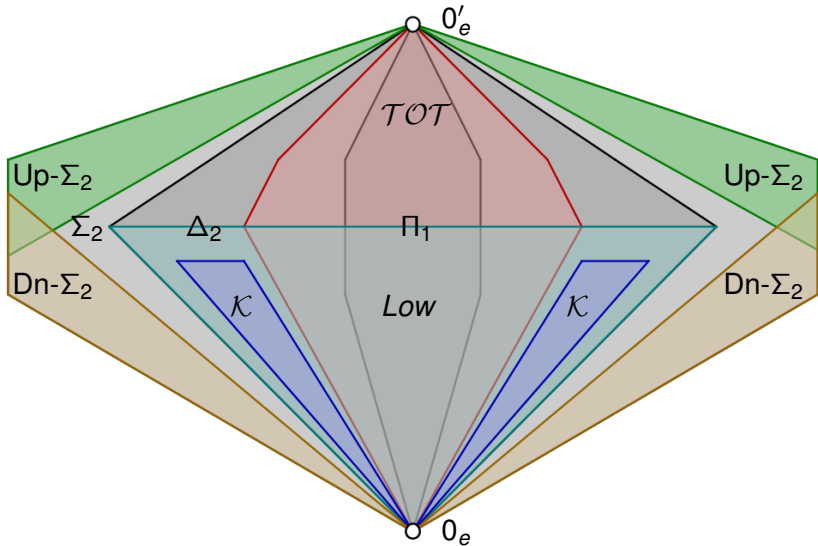
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The \mathcal{K} -pairs in the local structure \mathcal{G}_e .

Local definability of \mathcal{K} -pairs

$$\mathcal{K}(\mathbf{a}, \mathbf{b}) \Leftrightarrow (\forall \mathbf{x})((\mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{b} \vee \mathbf{x}) = \mathbf{x})$$

Is it enough to require that this formula is satisfied by all Σ_2^0 e-degrees?
Could there be a fake \mathcal{K} -pair $\{\mathbf{a}, \mathbf{b}\}$, such that:

$$\mathcal{G}_e \models \mathcal{K}(\mathbf{a}, \mathbf{b}) \ \& \ \neg(\mathcal{D}_e \models \mathcal{K}(\mathbf{a}, \mathbf{b}))?$$

Theorem (G, S)

There is a first order formula \mathcal{LK} , such that for any Σ_2^0 sets A and B , $\{A, B\}$ is a non-trivial \mathcal{K} -pair if and only if $\mathcal{G}_e \models \mathcal{LK}(\mathbf{d}_e(A), \mathbf{d}_e(B))$.

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Cupping properties

Definition

A Σ_2^0 enumeration degree \mathbf{a} is called *cuppable* if there is an incomplete Σ_2^0 e-degree \mathbf{b} , such that $\mathbf{a} \vee \mathbf{b} = \mathbf{0}'_e$.
If furthermore \mathbf{b} is low, then \mathbf{a} will be called *low-cuppable*.

Theorem (G, S)

If \mathbf{u} and \mathbf{v} are Σ_2^0 enumeration degrees such that $\mathbf{u} \vee \mathbf{v} = \mathbf{0}'_e$ then \mathbf{u} is low-cuppable or \mathbf{v} is low-cuppable.

Theorem (G, S)

For every nonzero Δ_2^0 degree \mathbf{b} there is a nontrivial \mathcal{K} -pair, (\mathbf{c}, \mathbf{d}) , such that

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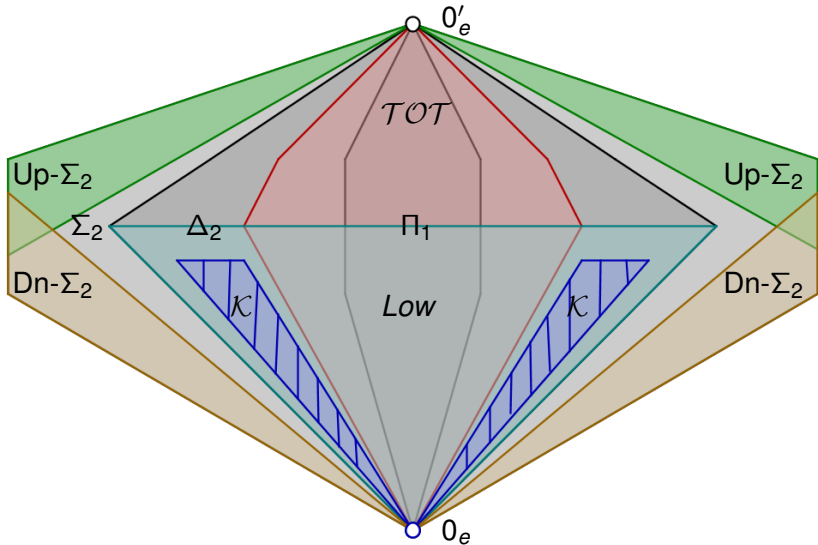
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The first example of a definable class of degrees in the local structure:
 \mathcal{K} -pairs.

An easy consequence

If \mathbf{a} bounds a nonzero Δ_2^0 degree then it bounds a nontrivial \mathcal{K} -pair.

If \mathbf{a} is a downwards properly Σ_2^0 degree, then it bounds no \mathcal{K} -pair.

Corollary

The class of downwards properly Σ_2^0 is first order definable in \mathcal{G}_e by the formula:

$$\mathcal{DP}\Sigma_2^0(\mathbf{x}) \Leftrightarrow \forall \mathbf{b}, \mathbf{c}[(\mathbf{b} \leq \mathbf{x} \ \& \ \mathbf{c} \leq \mathbf{x}) \Rightarrow \neg \mathcal{L}\mathcal{K}(\mathbf{b}, \mathbf{c})].$$

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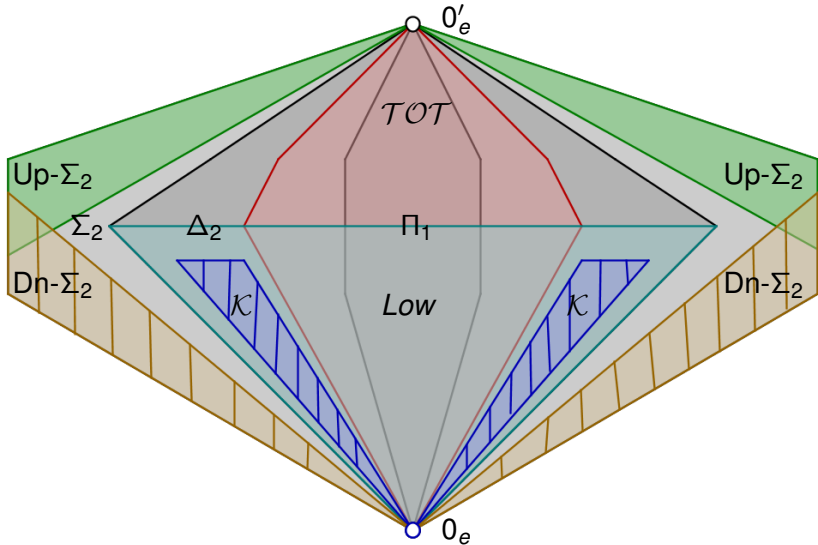
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The second example of a definable class of degrees in the local structure: Downwards properly Σ_2^0 degrees.

The upwards properly Σ_2^0 degrees

Definition

\mathbf{x} is upwards properly Σ_2^0 every $\mathbf{y} \in [\mathbf{x}, \mathbf{0}'_e)$ is properly Σ_2^0 .

Theorem (Jockusch)

For every noncomputable set B there is a semi recursive set $A \equiv_T B$ such that both A and \bar{A} are not c.e.

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Every nonzero total enumeration degree can be represented as the least upper bound of a nontrivial \mathcal{K} -pair.

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The upwards properly Σ_2^0 degrees

Theorem (Arslanov, Cooper, Kalimullin)

For every Δ_2^0 enumeration degree $\mathbf{a} < \mathbf{0}'_e$ there is a total enumeration degree \mathbf{b} such that $\mathbf{a} \leq \mathbf{b} < \mathbf{0}'_e$.

So a degree \mathbf{a} is upwards properly Σ_2^0 if and only if no element above it other than $\mathbf{0}'_e$ can be represented as the least upper bound of a nontrivial \mathcal{K} -pair.

Corollary

The class of upwards properly Σ_2^0 is first order definable in \mathcal{G}_e by the formula :

$$UP\Sigma_2^0(\mathbf{x}) \Leftrightarrow \forall \mathbf{c}, \mathbf{d} (\mathcal{L}\mathcal{K}(\mathbf{c}, \mathbf{d}) \ \& \ \mathbf{x} \leq \mathbf{c} \vee \mathbf{d} \Rightarrow \mathbf{c} \vee \mathbf{d} = \mathbf{0}'_e).$$

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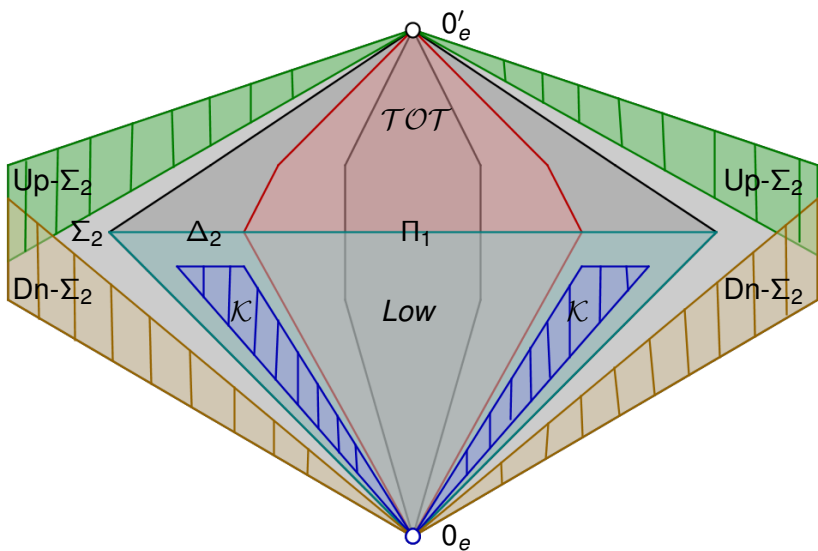
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The third example of a definable class of degrees in the local structure:
Upwards properly Σ_2^0 degrees.

Semi-recursive sets revisited

Proposition (Kalimullin)

If A and B form a nontrivial Δ_2^0 \mathcal{K} -pair then $A \leq_e \bar{B}$ and $B \leq_e \bar{A}$.

Consider a nontrivial \mathcal{K} -pair of a semi recursive set and its complement: $\{A, \bar{A}\}$.

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Maximal \mathcal{K} -pairs

Definition

We say that $\{A, B\}$ is a maximal \mathcal{K} -pair if for every \mathcal{K} -pair $\{C, D\}$, such that $A \leq_e C$ and $B \leq_e D$, we have $A \equiv_e C$ and $B \equiv_e D$.

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Every nonzero total set is enumeration equivalent to the join of a maximal \mathcal{K} -pair.

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Local definability of the total degrees

Theorem (G, S)

For every nontrivial Δ_2^0 \mathcal{K} -pair $\{A, B\}$ there is a \mathcal{K} -pair $\{C, \overline{C}\}$, such that $A \leq_e C$ and $B \leq_e \overline{C}$.

Denote by $\mathcal{MK}(\mathbf{x}, \mathbf{y})$ the first order formula that defines in \mathcal{G}_e the set of degrees of maximal \mathcal{K} -pairs.

Corollary

The class of total degrees is first order definable in \mathcal{G}_e by the formula:

$$TOT(\mathbf{x}) \Leftrightarrow \mathbf{x} = \mathbf{0}_e \vee \exists \mathbf{c} \exists \mathbf{d} [\mathcal{MK}(\mathbf{c}, \mathbf{d}) \ \& \ \mathbf{x} = \mathbf{c} \vee \mathbf{d}.]$$

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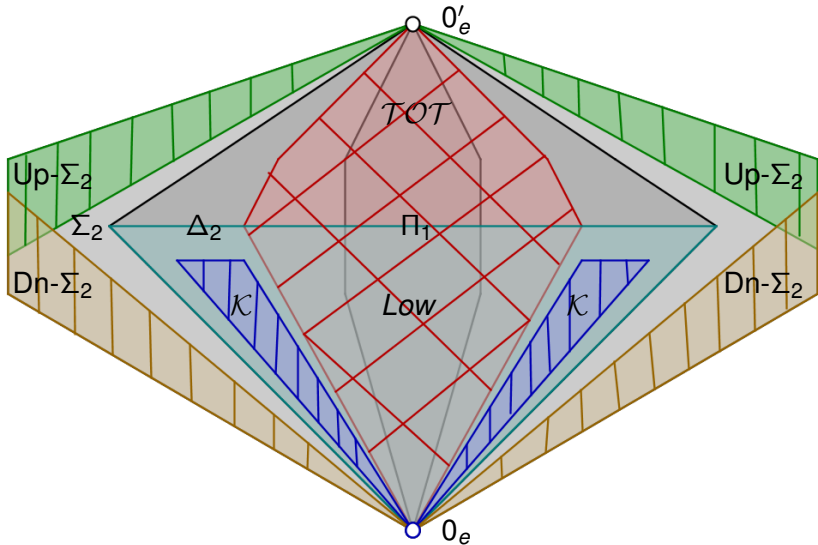
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The fourth example of a definable class of degrees in the local structure: The total degrees.

One final consequence

Theorem (Giorgi, Sorbi, Yang)

Every non-low total degree bounds a downwards properly Σ_2^0 enumeration degree.

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The class of low total e-degrees is first order definable in \mathcal{G}_e by the formula:

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For every enumeration degree \mathbf{x} there is a total enumeration degree \mathbf{y} , such that $\mathbf{x} < \mathbf{y}$ and $\mathbf{x}' = \mathbf{y}'$.

Thus a Σ_2^0 enumeration degree is low if and only if there is a low total Σ_2^0 enumeration degree above it.

Theorem (G, S)

The class of low e-degrees is first order definable in \mathcal{G}_e by the formula:

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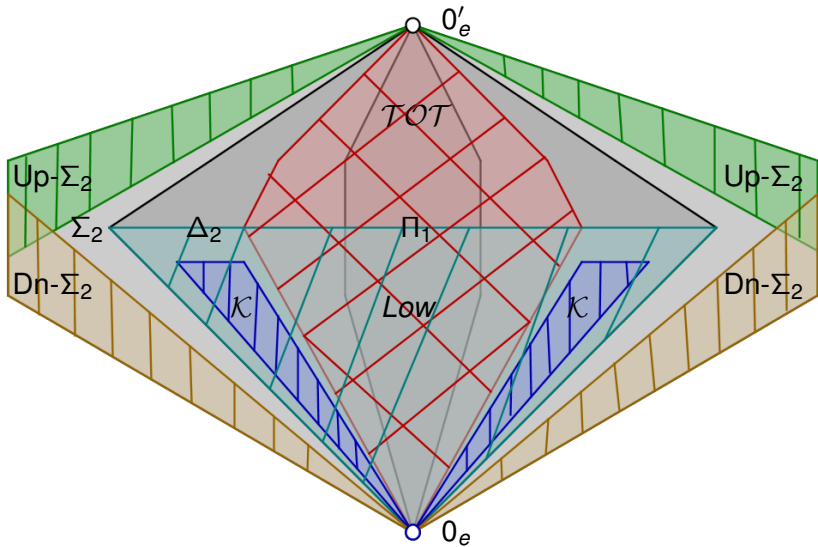
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PART II

THE ω -ENUMERATION DEGREES

The ω e-degrees: Basic definitions

Let \mathcal{S} be the set of all sequences of sets of natural numbers.

Definition

Let $\mathcal{A} = \{A_n\}_{n < \omega} \in \mathcal{S}$ and V be an e-operator. The result of applying the enumeration operator V to the sequence \mathcal{A} , denoted by $V(\mathcal{A})$, is the sequence $\{V[n](A_n)\}_{n < \omega}$. We say that $V(\mathcal{A})$ is enumeration reducible (\leq_e) to the sequence \mathcal{A} .

So $\mathcal{A} \leq_e \mathcal{B}$ is a combination of two notions:

- Enumeration reducibility: for every n we have that $A_n \leq_e B_n$ via, say, Γ_n .
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Basic definitions: The jump sequence

With every member $\mathcal{A} \in \mathcal{S}$ we connect a *jump sequence* $P(\mathcal{A})$.

Definition

The *jump sequence* of the sequence \mathcal{A} , denoted by $P(\mathcal{A})$ is the sequence $\{P_n(\mathcal{A})\}_{n < \omega}$ defined inductively as follows:

- $P_0(\mathcal{A}) = A_0$.
- $P_{n+1}(\mathcal{A}) = A_{n+1} \oplus P'_n(\mathcal{A})$, where $P'_n(\mathcal{A})$ denotes the enumeration jump of the set $P_n(\mathcal{A})$.

The jump sequence $P(\mathcal{A})$ transforms a sequence \mathcal{A} into a monotone sequence of sets of natural numbers with respect to \leq_e . Every member of the jump sequence contains full information on previous members.

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Let $\mathcal{A}, \mathcal{B} \in \mathcal{S}$.

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- ω -enumeration reducibility: $\mathcal{A} \leq_{\omega} \mathcal{B}$, if $\mathcal{A} \leq_e P(\mathcal{B})$.
- ω -enumeration equivalence: $\mathcal{A} \equiv_{\omega} \mathcal{B}$ if $\mathcal{A} \leq_{\omega} \mathcal{B}$ and $\mathcal{B} \leq_{\omega} \mathcal{A}$.
- ω -enumeration degrees: $d_{\omega}(\mathcal{A}) = \{\mathcal{B} \mid \mathcal{A} \equiv_{\omega} \mathcal{B}\}$.
- The structure of the ω -enumeration degrees:
 $\mathcal{D}_{\omega} = \langle \{d_{\omega}(\mathcal{A}) \mid \mathcal{A} \in \mathcal{S}\}, \leq_{\omega} \rangle$, where $d_{\omega}(\mathcal{A}) \leq_{\omega} d_{\omega}(\mathcal{B})$ if $\mathcal{A} \leq_{\omega} \mathcal{B}$.
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\mathcal{D}_ω as an upper semi-lattice with jump operation

- The join and least upper bound: $\mathcal{A} \oplus \mathcal{B} = \{A_n \oplus B_n\}_{n < \omega}$.
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The e-degrees as a substructure of \mathcal{D}_ω

$\langle \mathcal{D}_e, \leq_e, \vee, ' \rangle$ can be embedded in $\langle \mathcal{D}_\omega, \leq_\omega, \vee, ' \rangle$ via the embedding κ defined as follows:

$$\kappa(d_e(A)) = d_\omega(\{A, \emptyset, \emptyset, \dots\}) = d_\omega(\{A, A', A'', \dots\}).$$

Theorem (Soskov, Ganchev)

- *The structure $\mathcal{D}_1 = \kappa(\mathcal{D}_e)$ is first order definable in \mathcal{D}_ω .*
- *The structures \mathcal{D}_e and \mathcal{D}_ω with jump operation have isomorphic automorphism groups.*

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The Local structure \mathcal{G}_ω

Consider the structure \mathcal{G}_ω consisting of all degrees reducible to $\mathbf{0}'_\omega = d_\omega((\emptyset', \emptyset'', \emptyset''', \dots))$ also called the Σ_2^0 ω -enumeration degrees. The degrees in this local structure can as well be partitioned in terms of the high-low jump hierarchy.

Definition

Let $\mathbf{a} \in \mathcal{G}_\omega$.

- 1 \mathbf{a} is *low_n* if $\mathbf{a}^n = \mathbf{0}'_\omega$. The class of all *low_n* degrees is denoted by L_n .
- 2 \mathbf{a} is *high_n* if $\mathbf{a}^n = \mathbf{0}'_\omega^{n+1}$. The class of all *high_n* degrees is denoted by H_n .

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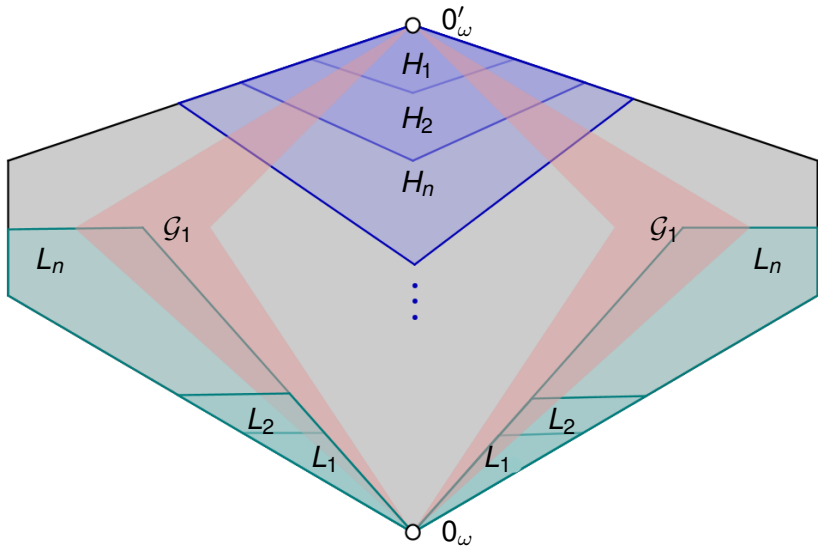
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- 1 $\mathbf{a} \in H_n$ if and only if $\mathbf{o}_n \leq_\omega \mathbf{a}$
- 2 $\mathbf{a} \in L_n$ if and only if $\mathbf{a} \wedge \mathbf{o}_n = \mathbf{0}_\omega$

Proof: 1: If $\mathbf{o}_n \leq_\omega \mathbf{a}$ then $\mathbf{0}_\omega^{n+1} = \mathbf{o}_n^n \leq_\omega \mathbf{a}^n$. Hence $\mathbf{a} \in H_n$.

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- 2 $\mathbf{a} \in L_n$ if and only if $\mathbf{a} \wedge \mathbf{o}_n = \mathbf{0}_\omega$

Proof: 1: If $\mathbf{o}_n \leq_\omega \mathbf{a}$ then $\mathbf{0}_\omega^{n+1} = \mathbf{o}_n^n \leq_\omega \mathbf{a}^n$. Hence $\mathbf{a} \in H_n$.

If $\mathbf{a} \in H_n$ then $\mathbf{a}^n = \mathbf{0}_\omega^{n+1}$. But \mathbf{o}_n is the least degree whose n -th jump is $\mathbf{0}_\omega^{n+1}$, so $\mathbf{o}_n \leq_\omega \mathbf{a}$.

The \mathbf{o}_n degrees

Definition

For every n let $\mathbf{o}_n = I^n(\mathbf{0}_\omega^{n+1}) = d_\omega((\underbrace{\emptyset, \dots, \emptyset}_n, \emptyset^{n+1}, \emptyset^{n+2}, \dots))$.

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Proof: For every $\mathcal{A} \equiv_e P(\mathcal{A}) \in \mathbf{a} \in \mathcal{G}_\omega$:

$$\begin{aligned} & \{A_0, A_1, \dots, A_n, A_{n+1}, \dots\} \wedge \underbrace{\{\emptyset, \dots, \emptyset\}}_n, \emptyset^{n+1}, \emptyset^{n+2}, \dots \\ &= \underbrace{\{\emptyset, \dots, \emptyset\}}_n, A_n, A_{n+1}, \dots = I^n(\mathcal{A}^n) \end{aligned}$$

Hence $\mathbf{a} \wedge \mathbf{o}_n = \mathbf{0}_\omega$ if and only if $I^n(\mathbf{a}^n) = \mathbf{0}_\omega$, if and only if $\mathbf{a}^n \equiv \mathbf{0}_\omega^n$

A new “lowness” property

Definition

A sequence \mathcal{A} is called almost zero (a.z.) if for every n , $A_n \leq_e \emptyset^n$. A degree is a.z. if it contains an a.z. sequence.

- The a.z. degrees form an ideal.
- If $\mathbf{a} \in \mathcal{G}_\omega$ then \mathbf{a} is a.z. if and only if $\mathbf{a} <_\omega \mathbf{0}_n$ for all n .
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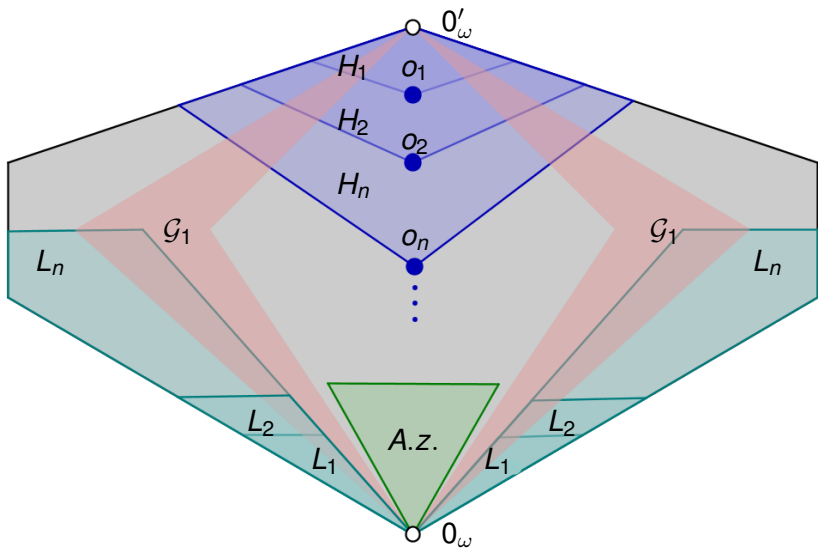
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\mathcal{K} -pairs in \mathcal{G}_ω

Definition

A pair of degrees $\mathbf{a}, \mathbf{b} \in \mathcal{G}_\omega$ is called a \mathcal{K} -pair if

$$\mathcal{K}(\mathbf{a}, \mathbf{b}) \Leftrightarrow (\forall \mathbf{x} \in \mathcal{G}_\omega)((\mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{b} \vee \mathbf{x}) = \mathbf{x})$$

Theorem (G,S)

If $d_\omega(\mathcal{A})$ and $d_\omega(\mathcal{B})$ form a nontrivial \mathcal{K} -pair in \mathcal{G}_ω , then both \mathcal{A} and \mathcal{B} are a.z. or for some n there exists a \mathcal{K} -pair in \mathcal{D}_e A, B over $\emptyset^{(n)}$ such that $\emptyset^n < A, B, A' = B' = \emptyset^{n+1}$ and:

$$\mathcal{A} \equiv_\omega \underbrace{\{\emptyset, \dots, \emptyset\}}_n, A, \emptyset, \dots, \emptyset, \dots \text{ and}$$

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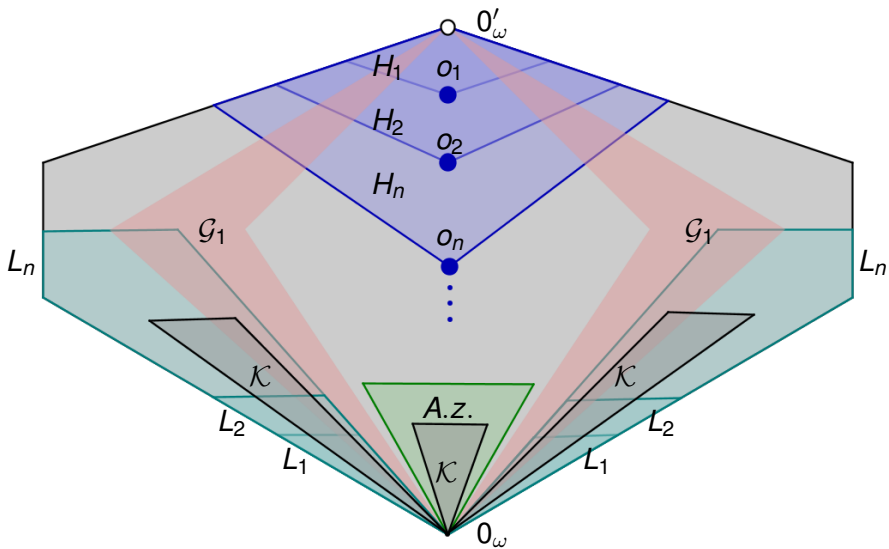
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Distinguishing between different types of \mathcal{K} -pairs

Theorem (G,S)

Let $\mathbf{a}, \mathbf{b} \in \mathcal{G}_\omega$ form a nontrivial minimal pair. Then for every natural number n

$$\forall \mathbf{x} \not\leq_\omega \mathbf{o}_n [\mathbf{a} \vee \mathbf{x} \not\leq_\omega \mathbf{o}_n] \iff \mathbf{a}, \mathbf{b} \leq_\omega \mathbf{o}_{n+1}.$$

Proof:

Suppose that $\mathbf{a}, \mathbf{b} <_\omega \mathbf{o}_n$ and $\mathbf{a}, \mathbf{b} \not\leq_\omega \mathbf{o}_{n+1}$. Then

$$\mathbf{a} = d_\omega(\underbrace{\{\emptyset, \dots, \emptyset\}}_n, A, \emptyset, \dots, \emptyset, \dots)$$

and $\emptyset^n < A < \emptyset^{n+1}$ and $A' = \emptyset^{n+1}$. Relativising the low cupping theorem for the Δ_2^0 enumeration degrees, there is an X such that $X' = \emptyset^{n+1}$ and $X \vee A \equiv_e \emptyset^{n+1}$.

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Definability of \mathfrak{o}_n

Theorem (G,S)

For every n \mathfrak{o}_n is first order definable in \mathcal{G}_ω .

Proof Sketch: Fix $n \geq 0$. Then \mathfrak{o}_{n+1} is the greatest degree which is the least upper bound of a nontrivial \mathcal{K} -pair $\{\mathbf{a}, \mathbf{b}\}$ in \mathcal{G}_ω , such that

$$\forall \mathbf{x} \lesssim_\omega \mathfrak{o}_n [\mathbf{a} \vee \mathbf{x} \lesssim_\omega \mathfrak{o}_n].$$

Corollary

For all n the classes H_n and L_n are first order definable in \mathcal{G}_ω .

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Definability of \mathcal{G}_1

For every sequence $\mathcal{A} = \{A_0, A_1, A_2, \dots\}$ we have that:

$$d_\omega(\mathcal{A}) \vee \mathbf{o}_1 = d_\omega(\{A_0, \emptyset'', \emptyset''', \dots\}).$$

If $\mathcal{A}^* \in \mathcal{G}_1$ and $\mathcal{A}^* = \{A_0, \emptyset, \emptyset, \dots\}$ then $d_\omega(\mathcal{A}^*) \vee \mathbf{o}_1 = d_\omega(\mathcal{A}) \vee \mathbf{o}_1$ and $d_\omega(\mathcal{A}^*) \leq_\omega d_\omega(\mathcal{A})$.

Theorem (G,S)

\mathcal{G}_1 is first order definable in \mathcal{G}_ω by:

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The end

Thank you!