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CYCLIC CODES WITH LENGTH DIVISIBLE BY THE FIELD
CHARACTERISTIC AS INVARIANT SUBSPACES

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In the theory of cyclic codes it is a common practice to require that $(n, q) = 1$, where n is the word length and F_q is the alphabet. However, much of the theory also goes through without this restriction on n and q . We observe that the cyclic shift map is a linear operator in F_q^n . Our approach is to consider cyclic codes as invariant subspaces of F_q^n with respect to this operator and thus obtain a description of cyclic codes in this more general setting.

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1. INTRODUCTION

The main purpose of this paper is the study of some properties of the cyclic codes as linear subspaces without the requirement that the field characteristic is coprime with n . We already considered the case of coprime field characteristic and word length in [4].

The linear cyclic codes are traditionally described using the methods of commutative algebra (see [2] and [3]). Since the linear codes have the structure of linear subspaces of F^n , where F is a finite field, the description of linear cyclic codes in terms of the linear algebra is natural.

2. SOME LINEAR ALGEBRA

Let $F = \text{GF}(q)$ and let F^n be the n -dimensional vector space over F with the standard basis $e_1 = (1, 0, \dots, 0)$, $e_2 = (0, 1, \dots, 0)$, \dots , $e_n = (0, 0, \dots, 1)$.

Let $\varphi : F^n \rightarrow F^n$ be the linear map given by the formula $\varphi(x_1, x_2, \dots, x_n) = (x_n, x_1, \dots, x_{n-1})$.

Then φ has the following matrix

$$A = \begin{pmatrix} 0 & 0 & 0 & \dots & 1 \\ 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{pmatrix}$$

in the basis e_1, e_2, \dots, e_n . Note that $\varphi(e_1) = e_2, \varphi(e_2) = e_3, \dots, \varphi(e_{n-1}) = e_n, \varphi(e_n) = e_1$.

We observe that $A^t = A^{-1}$ and $A^n = E$. The characteristic polynomial of A is

$$f_A(x) = \begin{vmatrix} -x & 0 & 0 & \dots & 1 \\ 1 & -x & 0 & \dots & 0 \\ 0 & 1 & -x & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & -x \end{vmatrix} = (-1)^n (x^n - 1).$$

We will denote the polynomial $f_A(x)$ by $f(x)$.

We will assume that $(n, q) = p^s = d$ and $n = dn_1, (p, n_1) = 1$, where $p = \text{char } F$. Let $x^{n_1} - 1 = f_1(x) \dots f_t(x)$ be the factorization of $x^{n_1} - 1$ into irreducible monic factors over F . Then the factorization of $f(x)$ is

$$f(x) = (-1)^n (x^n - 1) = (-1)^n (x^{n_1} - 1)^d = (-1)^n (f_1(x))^d (f_2(x))^d \dots (f_t(x))^d.$$

Let us denote by U_i the space of all solutions of the homogeneous system with matrix $f_i^d(A)$ for $i = 1, \dots, t$, i.e. $U_i = \text{Ker } f_i^d(\varphi)$.

Theorem 1. *The subspaces U_i of F^n satisfy the following conditions:*

- 1) U_i is a φ -invariant subspace of F^n ;
- 2) $F^n = U_1 \oplus \dots \oplus U_t$;
- 3) $f_i^d(x)$ is the monic polynomial of minimal degree in $F[x]$ such that $f_i^d(A)u = \mathbf{0}$ for all $u \in U_i$;
- 4) $f_{\varphi|_{U_i}} = (-1)^{d \deg f_i} f_i^d$. In particular, $\dim U_i = \deg f_{\varphi|_{U_i}} = d \deg f_i$;
- 5) There exist a vector $u_i \in U_i$ such that the vectors

$$u_i, \varphi(u_i), \dots, \varphi^{\dim U_i - 1}(u_i)$$

are basis of U_i ;

- 6) For each vector u in U_i there exists a polynomial $g \in F[x]$ such that $u = (g(A))(u_i)$.

Proof:

1) Let $u \in U_i$, i.e. $f_i^d(A)u = \mathbf{0}$. Then $f_i^d(A)\varphi(u) = f_i^d(A)Au = Af_i^d(A)u = \mathbf{0}$, so that $\varphi(u) \in U_i$.

2) Let $\hat{f}_i(x) = \frac{f(x)}{f_i^d(x)}$ for $i = 1, \dots, t$. Since $(\hat{f}_1(x), \dots, \hat{f}_t(x)) = 1$, then by the Euclidean algorithm there are polynomials $a_1(x), \dots, a_t(x) \in F[x]$ so that

$$a_1(x)\hat{f}_1(x) + \dots + a_t(x)\hat{f}_t(x) = 1.$$

Then for every vector $v \in V$ the condition $v = a_1(A)\hat{f}_1(A)v + \dots + a_t(A)\hat{f}_t(A)v$ holds. Let $v_i = a_i(A)\hat{f}_i(A)v$. Then $f_i^d(A)v_i = a_i(A)f(A)v = \mathbf{0}$, so that $v_i \in U_i$. Hence

$$F^n = U_1 + \dots + U_t.$$

Let us assume that $v \in U_i \cap \sum_{j \neq i} U_j$. Then $f_i^d(A)v = \mathbf{0}$ and $\hat{f}_i(A)v = \mathbf{0}$. Since $(f_i^d, \hat{f}_i) = 1$, there are polynomials $a(x), b(x) \in F[x]$, such that $a(x)f_i^d(x) + b(x)\hat{f}_i(x) = 1$. Hence $a(A)f_i^d(A)v + b(A)\hat{f}_i(A)v = v = \mathbf{0}$ and we conclude that $U_i \cap \sum_{j \neq i} U_j = \{\mathbf{0}\}$. Thus

$$F^n = U_1 \oplus \dots \oplus U_t.$$

3) Let $m_i(x) \in F[x]$ be the monic polynomial of smallest degree such that $m_i(A)u = \mathbf{0}$ for all $u \in U_i$. By the division algorithm in $F[x]$ there are polynomials $q_i(x), r_i(x)$ such that $f_i^d(x) = m_i(x)q_i(x) + r_i(x)$, where $\deg r_i(x) < \deg m_i(x)$. Then for each vector $u \in U_i$ we have $f_i^d(A)u = q_i(A)m_i(A)u + r_i(A)u$ and hence $r_i(A)u = \mathbf{0}$. But this contradicts the choice of $m_i(x)$ unless $r_i(x)$ is identically zero. Thus, $m_i(x)$ divides $f_i^d(x)$ for all $i = 1, \dots, t$. Therefore there are numbers $0 \leq s_i \leq d$ such that $m_i(x) = f_i^{s_i}(x)$. Set $m(x) = m_1(x) \dots m_t(x)$. Since $m(A)u = \mathbf{0}$ for all $u \in F^n$ and $m(x)$ divides the minimal polynomial $x^n - 1$ of A , we conclude that $x^n - 1 = m(x)$. Then

$$f_1^d(x) \dots f_t^d(x) = x^n - 1 = f_1^{s_1}(x) \dots f_t^{s_t}(x).$$

Now the statement follows from the uniqueness of the factorization of a polynomial into irreducible factors.

4) Let $k_i = \dim U_i$, $i = 1, \dots, t$ and let $\tilde{f}_i(x) = f_{\varphi|_{U_i}}$. We choose a basis $g_1^{(i)}, \dots, g_{k_i}^{(i)}$ of U_i over F , $i = 1, \dots, t$. Denote by A_i the matrix of $\varphi|_{U_i}$ in that basis.

By property 2) we obtain that $g_1^{(1)}, \dots, g_{k_1}^{(1)}, \dots, g_1^{(t)}, \dots, g_{k_t}^{(t)}$ is a basis of F^n and the matrix of φ in that basis is

$$A' = \begin{pmatrix} A_1 & & & \\ & A_2 & & \\ & & \ddots & \\ & & & A_t \end{pmatrix}.$$

Theorem 2. *Let U be a φ -invariant subspace of U_i for some $1 \leq i \leq t$. Then there exists a number $0 \leq k \leq d$ such that $U = \text{Im } f_i^k(\varphi|_{U_i}) = \text{Ker } f_i^{d-k}(\varphi|_{U_i}) = \text{Ker } f_i^{d-k}(\varphi)$.*

Proof: Let the vector $u_i \in U_i$ be as in Theorem 1 and let us consider the set

$$J = \{g \in F[x] \mid (g(A))(u_i) \in U\}.$$

It is easy to verify that J is a principal ideal in $F[x]$. Then there exists a monic polynomial $h \in F[x]$ such that $J = (h)$. We are going to show that $U = \text{Im } h(\varphi|_{U_i})$. First, let $u \in U$. Then $u = g(A)u_i$ for a suitable polynomial $g(x) \in F[x]$ by Theorem 1.6). Since $g(x) \in J$ then $g(x) = h(x)g_1(x)$. Hence $u = (hg_1)(A)u_i = h(A)g_1(A)u_i = h(A)v_i$, where $v_i \in U_i$. Thus $u \in \text{Im } h(\varphi|_{U_i})$. Conversely, suppose that $u \in \text{Im } h(\varphi|_{U_i})$, i.e. $u = h(A)v$ for some $v \in U_i$. Then $v = g(A)u_i$ for a suitable polynomial $g(x) \in F[x]$ and hence $u = h(A)g(A)u_i = (hg)(A)u_i$. Since $h(x)g(x) \in J$, we conclude that $u \in U$.

Now we are going to show that $h(x) = f_i^k(x)$ for some $0 \leq k \leq d$. Since $f_i^d(A)u_i = \mathbf{0}$, then $f_i^d(x) \in J$. Therefore $h(x)$ divides $f_i^d(x)$. Since $f_i(x)$ is an irreducible polynomial, $h(x) = f_i^k(x)$ for some $0 \leq k \leq d$. Hence $U = \text{Im } f_i^k(\varphi|_{U_i})$. It remains to prove that $U = \text{Ker } f_i^{d-k}(\varphi|_{U_i})$. We have

$$f_i^{d-k}(A_i)f_i^k(A_i) = f_i^d(A_i) = \mathbf{0},$$

where A_i is the matrix of $\varphi|_{U_i}$. Since each column of $f_i^k(A_i)$ is a solution of the homogeneous system with matrix $f_i^{d-k}(A_i)$, then $U = \text{Im } f_i^k(\varphi|_{U_i}) \subseteq \text{Ker } f_i^{d-k}(\varphi|_{U_i})$. It is easy to verify that $\text{Ker } f_i^{d-k}(\varphi|_{U_i}) = \text{Ker } f_i^{d-k}(\varphi)$. Now suppose that $u \in \text{Ker } f_i^{d-k}(\varphi)$, i.e. $f_i^{d-k}(A)u = \mathbf{0}$. Then $u \in \text{Ker } f_i^d(\varphi) = U_i$ and $u = g(A)u_i$ for a suitable polynomial $g(x) \in F[x]$. Hence $f_i^{d-k}(A)g(A)u_i = \mathbf{0}$. Since $f_i^d(x)$ is the minimal polynomial with the property $f_i^d(A)u_i = \mathbf{0}$ we conclude that $f_i^k(x)$ divides $g(x)$. Thus $g(x) \in J$ and $u \in U$, which proves the statement. □

Proposition 1. *Let U be a φ -invariant subspace of F^n . Then U is a direct sum of subspaces of F^n of the form $\text{Ker } f_i^{s_i}(\varphi)$, where $0 \leq s_i \leq d$.*

Proof: Let $\tilde{U}_i = U \cap U_i$, $i = 1, \dots, t$. Then $\tilde{U}_i = \text{Ker } f_i^{s_i}(\varphi)$ for some $0 \leq s_i \leq d$. Therefore

$$U = U \cap F^n = U \cap (U_1 \oplus \dots \oplus U_t) = \tilde{U}_1 \oplus \dots \oplus \tilde{U}_t.$$

□

3. LINEAR CYCLIC CODES

Definition 1. A code C with length n over F is called cyclic, if whenever $x = (c_1, c_2, \dots, c_n)$ is in C , so is its cyclic shift $y = (c_n, c_1, \dots, c_{n-1})$.

The following statement is clear from the definition.

Proposition 2. A linear code C with length n over F is cyclic iff C is a φ -invariant subspace of F^n .

Theorem 3. Let C be a linear cyclic code with length n over F . Then the following facts hold.

- 1) $C = \tilde{U}_{i_1} \oplus \dots \oplus \tilde{U}_{i_m}$ for some φ -invariant subspaces $\tilde{U}_{i_r} = \text{Ker } f_{i_r}^{s_r}(\varphi)$ of F^n , $0 < s_r \leq d$, and $\dim_F C = \sum_{r=1}^m s_r \deg f_{i_r} = k$;
- 2) $f_{\varphi|_C}(x) = (-1)^k f_{i_1}^{s_1}(x) \dots f_{i_m}^{s_m}(x) = g(x)$;
- 3) $c \in C$ iff $g(A)c = \mathbf{0}$;
- 4) the polynomial $g(x)$ has the smallest degree with the property 3);
- 5) $r(g(A)) = n - k$.

Proof:

1) The first part of the statement follows from Proposition 1. Now we are going to show that $\dim_F \text{Ker } f_{i_r}^{s_r} = s_r \deg f_{i_r}$. Let us consider the following chain of linear subspaces of F^n

$$\text{Ker } f_{i_r}(\varphi) \subset \text{Ker } f_{i_r}^2(\varphi) \subset \dots \subset \text{Ker } f_{i_r}^d(\varphi) = U_{i_r}.$$

Since the characteristic polynomial of the restriction of φ to $\text{Ker } f_{i_r}^l(\varphi)$ divides $f_{\varphi|_{U_{i_r}}} = (-1)^d \deg f_{i_r} f_{i_r}^d$ for all $l = 1, \dots, d$, then for the dimensions of the respective subspaces we obtain the following inequalities of natural numbers

$$l_1 \deg f_{i_r} < l_2 \deg f_{i_r} < \dots < l_d \deg f_{i_r} = d \deg f_{i_r}.$$

Thus $l_i = i$ for $i = 1, \dots, d$, which proves the statement. In particular, it follows from the proof that $f_{\varphi|_{\tilde{U}_{i_r}}}(x) = (-1)^{s_r \deg f_{i_r}} f_{i_r}^{s_r}(x)$.

2) Let us denote $\alpha_{i_r} = \dim \tilde{U}_{i_r} = s_r \deg f_{i_r}$. We choose a basis $u_1^{(i_r)}, \dots, u_{\alpha_{i_r}}^{(i_r)}$ of \tilde{U}_{i_r} over F , $r = 1, \dots, m$ and denote by B_{i_r} the matrix of $\varphi|_{\tilde{U}_{i_r}}$ in that basis. Then $u_1^{(i_1)}, \dots, u_{\alpha_{i_1}}^{(i_1)}, \dots, u_1^{(i_m)}, \dots, u_{\alpha_{i_m}}^{(i_m)}$ is a basis of C over F and $\varphi|_C$ has a matrix

$$\begin{pmatrix} B_{i_1} & & & \\ & B_{i_2} & & \\ & & \ddots & \\ & & & B_{i_m} \end{pmatrix}$$

in that basis. Hence

$$f_{\varphi|_C}(x) = f_{\varphi|_{\tilde{U}_{i_1}}}(x) \dots f_{\varphi|_{\tilde{U}_{i_m}}}(x) = (-1)^k f_{i_1}^{s_1}(x) \dots f_{i_m}^{s_m}(x).$$

3) Let $c \in C$. Then $c = u_{i_1} + \dots + u_{i_m}$ for some $u_{i_r} \in \tilde{U}_{i_r}$, $r = 1, \dots, m$ and $g(A)c = (-1)^k [(f_{i_1}^{s_1} \dots f_{i_m}^{s_m})(A)u_{i_1} + \dots + (f_{i_1}^{s_1} \dots f_{i_m}^{s_m})(A)u_{i_m}] = \mathbf{0}$.

Conversely suppose that $g(A)c = \mathbf{0}$ for some $c \in F^n$ and let $c = u_1 + \dots + u_t$, $u_i \in U_i$. Then $g(A)c = (-1)^k [(f_{i_1}^{s_1} \dots f_{i_m}^{s_m})(A)u_1 + \dots + (f_{i_1}^{s_1} \dots f_{i_m}^{s_m})(A)u_t] = \mathbf{0}$, so that $g(A)[u_{j_1} + \dots + u_{j_l}] = \mathbf{0}$, where $\{j_1, \dots, j_l\} = \{1, \dots, t\} \setminus \{i_1, \dots, i_m\}$. Set $v_{j_r} = g(A)u_{j_r}$, for all $r = 1, \dots, l$. Hence $v_{j_r} \in U_{j_r}$ and $v_{j_1} + \dots + v_{j_l} = \mathbf{0}$. Therefore $v_{j_r} = \mathbf{0}$ for all $r = 1, \dots, l$. Since $(g, f_{j_r}^d) = 1$ there are polynomials $a(x), b(x) \in F[x]$, such that $a(x)g(x) + b(x)f_{j_r}^d(x) = 1$. Then $u_{j_r} = a(A)g(A)u_{j_r} + b(A)f_{j_r}^d(A)u_{j_r} = \mathbf{0}$. Thus $c = u_{i_1} + \dots + u_{i_m} \in C$.

We omit the proofs of 4) and 5), since they are clear. □

Definition 2. Let $x = (x_1, \dots, x_n)$ and $y = (y_1, \dots, y_n)$ be two vectors in F^n . We define an inner product over F by $\langle x, y \rangle = x_1y_1 + \dots + x_ny_n$. If $\langle x, y \rangle = 0$, we say that x and y are orthogonal to each other.

Definition 3. Let C be a linear code over F . We define the dual of C (which is denoted by C^\perp) to be the set of all vectors which are orthogonal to all codewords in C , i.e.

$$C^\perp = \{v \in F^n \mid \langle v, c \rangle = 0 \text{ for all } c \in C\}.$$

It is well known that if C is k -dimensional, then C^\perp is $(n-k)$ -dimensional. Besides the dual of a linear cyclic code is also cyclic.

Proposition 3. The matrix H , which rows are arbitrary $n-k$ linearly independent rows of $g(A)$, is a parity check matrix of C .

Proof: The proof follows from the equation $g(A)c = \mathbf{0}$ for every vector $c \in C$ and the fact that $r(g(A)) = n-k$. □

Let us denote

$$h(x) = \frac{f(x)}{g(x)} = (-1)^{n-k} f_1^{d-s_1}(x) \dots f_t^{d-s_t}(x),$$

where $0 \leq s_r \leq d$ for all $r = 1, \dots, t$.

Let $g_{l_1}, \dots, g_{l_{n-k}}$ be a basis of C^\perp , where g_{l_r} is a l_r -th vector row of $g(A)$. By the equation $g(A)h(A) = \mathbf{0}$ we obtain that $\langle g_{l_r}, h_i \rangle = 0$ for each $i = 1, \dots, n$, $r = 1, \dots, n-k$. The last equation gives us that the columns h_i of $h(A)$ are codewords in C .

We show that $r(h(A)) = k$. By the inequality of Sylvester we obtain that $r(\mathbf{0}) = 0 \geq r(g(A)) + r(h(A)) - n$. Thus $r(h(A)) \leq n - r(g(A)) = n - (n-k) = k$. On the other hand the inequality of Sylvester, applied to the product $h(A) = (-1)^{n-k} f_1^{d-s_1}(A) \dots f_t^{d-s_t}(A)$, gives us that $r(h(A)) \geq r(f_1^{d-s_1}(A)) + \dots + r(f_t^{d-s_t}(A)) - n(t-1) = nt - d \sum_{i=1}^t \deg f_i + \sum_{i=1}^t s_i \deg f_i - nt + n = k$. Therefore $r(h(A)) = k$. Thus we have proved the following proposition.

Proposition 4. *The matrix G , which rows are arbitrary k linearly independent rows of $(h(A))^t$, is a generator matrix of the code C .*

Let $f_{\varphi|_{C^\perp}}(x) = \tilde{h}$. By Theorem 3 it follows that \tilde{h} is the polynomial of the smallest degree such that $\tilde{h}(A)u = \mathbf{0}$ for every $u \in C^\perp$. Let $h^*(x) = \tilde{h}(x)q(x) + r(x)$, where $\deg r(x) < \deg \tilde{h}(x)$. Then $h^*(A) = A^{n-k}(h(A^t)) = \tilde{h}(A)q(A) + r(A)$, hence for every vector $u \in C^\perp$ the assertion $A^{n-k}(h(A))^t u = q(A)\tilde{h}(A)u + r(A)u$ holds, so that $r(x) = 0$. Thus $\tilde{h}(x)$ divides $h^*(x)$. Since both are polynomials of the same degree, $h^*(x) = a\tilde{h}(x)$, where $a \in F$ is the leading coefficient of the product $(f_1^*(x))^{d-s_1} \dots (f_t^*(x))^{d-s_t}$. Thus

$$\begin{aligned} \tilde{h} &= \frac{1}{a}h^* = (-1)^{n-k} \frac{1}{a} (f_1^*(x))^{d-s_1} \dots (f_t^*(x))^{d-s_t} = \\ &(-1)^{n-k} \prod_{i=1}^t \frac{1}{a_i} (f_i^*(x))^{d-s_i} = (-1)^{n-k} \prod_{i=1}^t f_{n_i}^{d-s_i}(x), \end{aligned}$$

where a_i is the leading coefficient of $(f_i^*(x))^{d-s_i}$. Note that the polynomials $f_{n_i}(x)$ are monic irreducible and divide $f(x) = (-1)^n(x^n - 1)$.

Now we show that $C^\perp = \overline{U_{n_1}} \oplus \dots \oplus \overline{U_{n_t}}$, where $\overline{U_{n_i}} = \text{Ker } f_{n_i}^{d-s_i}(\varphi)$. By Theorem 3 C^\perp is the space of the solutions of the homogeneous system with matrix $\tilde{h}(A)$. Let $u \in U = \overline{U_{n_1}} \oplus \dots \oplus \overline{U_{n_t}}$ and let $u = u_{n_1} + \dots + u_{n_t}$ for $u_{n_r} \in U_{n_r}$, $r = 1, \dots, t$. Then

$$\tilde{h}(A)u = (-1)^{n-k} [(f_{n_1}^{d-s_1} \dots f_{n_t}^{d-s_t})(A)u_{n_1} + \dots + (f_{n_1}^{d-s_1} \dots f_{n_t}^{d-s_t})(A)u_{n_t}] = \mathbf{0}.$$

Hence $U \subseteq C^\perp$. Since $\dim_F U = \dim_F C^\perp$, then

$$C^\perp = \overline{U_{n_1}} \oplus \dots \oplus \overline{U_{n_t}}.$$

Thus we have proved the following theorem.

Theorem 4. *Let $C = \tilde{U}_1 \oplus \dots \oplus \tilde{U}_t$ be a linear cyclic code over F , where $\tilde{U}_i = \text{Ker } f_i^{s_i}(\varphi)$, $0 \leq s_i \leq d$. Then the dual code of C is given by $C^\perp = \overline{U_{n_1}} \oplus \dots \oplus \overline{U_{n_t}}$ and $f_{\varphi|_{\overline{U_i}}}(x) = (-1)^{d-s_i} \frac{1}{a_i} (f_i^*(x))^{d-s_i} = (-1)^{d-s_i} f_{n_i}^{d-s_i}(x)$ where $(f_i^*(x))^{d-s_i}$ is the reciprocal polynomial of $f_i^{d-s_i}(x)$ with leading coefficient equals to a_i , $i = 1, \dots, t$.*

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